AIA Hong Kong

12/F, AIA Tower, 183 Electric Road,
North Point, Hong Kong
T : (852) 2881 3333

AIA.COM.HK

Customer Declaration for Appointment of Financial Intermediary ("Declaration")

I,_____(Full Name of Customer), an Applicant / Entrant for the New Capital Investment Entrant Scheme (the "New CIES" or the "Scheme") administered by Invest Hong Kong and the Immigration Department of Hong Kong, have decided to appoint AIA International Limited (Incorporated in Bermuda with limited liability) ("AIA") as my appointed financial intermediary ("FI") (under the category of insurer permitted to carry on Class C business as specified in Part 2 of Schedule 1 under the Insurance Ordinance (Cap. 41 of the Laws of Hong Kong)) pursuant to the Rules for the New Capital Investment Entrant Scheme (the "Scheme Rules"). For such purpose, I hereby make this Declaration to and for the benefit of AIA.

Remarks: * These terms shall have the same meaning as set out in the Scheme Rules, which is available on the website of the New CIES hosted by InvestHK: https://www.newcies.gov.hk/en/index.html.

FI Information

FI Name: AIA International Limited (Incorporated in Bermuda with limited liability)

FI Address: 12/F, AIA Tower, 183 Electric Road, North Point, Hong Kong

FI Contact Phone Number: 2881 3333

FI Category: Insurer permitted to carry on Class C business as specified in Part 2 of Schedule 1 under the Insurance Ordinance (Cap. 41 of the Laws of Hong Kong)

Applicant / Entrant Information

Name of Applicant / Entrant for New CIES application: _____

New CIES Reference No.: _____

Applicant / Entrant's New CIES status as at the date of signing this Declaration (tick as appropriate):

☐ Approval-in-Principle* obtained^

☐ Formal Approval* obtained^

☐ Applicant/Entrant has not yet obtained Approval-in-Principle* and Formal Approval*#

(Applicant/Entrant must inform AIA when the Applicant/Entrant has obtained the Approval-in-Principle* or Formal Approval* by submitting the relevant form to notify AIA and to provide a copy of the supporting document to AIA.)

Note:

^ Please provide the supporting document to show the Approval-in-Principle* or Formal Approval* has been obtained.

 # Please provide a copy of Invest Hong Kong's acknowledgement of the Applicant/Entrant's Net Asset Assessment* application.

AIA reserves the right to request for other documentation proof to verify Applicant/Entrant's New CIES application status.

Acknowledgement and Declaration

I, the Applicant / Entrant, understand that I can only appoint one FI under the FI category of insurer permitted to carry on Class C business as specified in Part 2 of Schedule 1 under the Insurance Ordinance (Cap. 41 of the Laws of Hong Kong) (the "Insurer Category") pursuant to the Scheme Rules.

I hereby declare, agree and acknowledge that:

(i) my decision to appoint AIA as my FI under the Insurer Category is made on my own initiative and it is purely my personal decision having considered, among other things, the terms of appointment and my personal circumstances;

(ii) AIA shall not be liable for any actions, claims, costs, losses, charges, expenses and damages whatsoever as may be suffered, incurred or sustained by me arising from or in connection with such appointment; and

(iii) I have decided to apply for the insurance policy(ies) (to be placed in my designated account) because these policies suit my needs as stated in the Financial Needs Analysis (FNA).

I further declare, agree and acknowledge all of the following:

Compliance with the Scheme Rules

(a) I am solely responsible for my understanding of and compliance with the Scheme Rules as issued and amended by the Director-General of Investment Promotion of Invest Hong Kong ("DGIP") and the Director of Immigration from time to time, including but not limited to obtaining all necessary information regarding the Scheme, meeting all eligibility, investment and reporting requirements under the Scheme Rules.

(b) I am solely responsible for providing all information in writing to the satisfaction of DGIP regarding the Permissible financial assets* as DGIP may request.

(c) I am solely responsible for obtaining any necessary independent legal and financial advice at my own costs regarding my New CIES application and the Scheme Rules and AIA does not provide any advice or assurance or assume any responsibility on my New CIES application.

(d) AIA is not liable in any way for (i) any breach or non-compliance of the Scheme Rules by me; or (ii) any of my representations and undertakings made to DGIP, Invest Hong Kong, the Immigration Department of Hong Kong, Director of Immigration or any government authority or regulator; or (iii) the exercise of any rights or options under any insurance policy (which are held in my designated account) by me, even if exercising of such rights or options will have the effect of reducing or withdrawing the value of the Permissible financial assets*.

Appointment of FI

(e) It is my sole responsibility for appointing FI pursuant to the Scheme Rules and to inform DGIP in writing of the name and details of my appointed FI within the specified period.

(f) Any handling charges imposed by AIA for the provision of services in connection with my application under the Scheme will be wholly borne by me.

(g) Once I have appointed AIA as my FI under the Insurer Category, I will be required to open a designated account at AIA in my own name pursuant to the Scheme Rules, to deposit my Permissible financial assets* into the designated account and to use the designated account exclusively for the transaction of the Permissible financial assets*. I will be required to enter into a contract (Financial Intermediary Agreement – New Capital Investment Entrant Scheme) (the "Financial Intermediary Agreement") with AIA and that such contract will specify the terms and conditions of the opening and operation of my designated account.

(h) If the designated account opened at AIA in my own name is closed, AIA will cease to be my FI for the purpose of the Scheme and the Financial Intermediary Agreement which I entered into with AIA will be terminated.

New CIES Application

I understand and agree if my New CIES application is unsuccessful or is withdrawn or terminated:

(i) my insurance policy(ies) (which are Permissible financial assets* that are placed in my designated account) will remain in force unless these insurance policy(ies) are terminated in accordance with the terms of the policy contract, and upon such termination, I understand I may be subject to investment loss and that AIA shall not be responsible for any of such loss;

(ii) after the cooling-off period, my insurance policy(ies) (which are Permissible financial assets* that are placed in my designated account) cannot be terminated or cancelled due to my New CIES application is unsuccessful or is withdrawn or terminated, and that no refund of any premiums I have paid in respect of such insurance policy(ies) can be requested or made as a result; and

(iii) I am solely responsible for and shall bear all the risks entirely on my own of the outcome of my New CIES application. AIA is not responsible for the outcome of my New CIES application and shall not be liable in any way if my New CIES application is unsuccessful, is withdrawn or terminated for whatever reason.

I agree AIA and its appointed administrator will transfer and share my personal data with third-party data recipients including Invest Hong Kong, the Director-General of Investment Promotion of Invest Hong Kong, Immigration Department of Hong Kong and the Director of Immigration, for the purpose of my New CIES application and the Scheme.

I further confirm that I have been advised by AIA to read carefully the terms in this Declaration and the Financial Intermediary Agreement to understand the meaning of such terms and their effect on me including my obligations and responsibilities thereunder.

This Declaration is in English and a Chinese translation is provided for reference. In the case of any inconsistency between the English version and the Chinese translated version, the English version shall prevail.

Signed by: Date:

Name of Applicant / Entrant: (MM/DD/YYYY)

Signed by: Date:

Name of Witness: (MM/DD/YYYY)

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委聘金融中介機構之客戶聲明（「聲明」）

本人_____（客戶全名），為香港投資推廣署及香港入境事務處管理的新資本投資者入境計劃(以下簡稱「新入境計劃」或「計劃」)下的申請人/ 投資者，已決定根據新資本投資者入境計劃的規則（以下簡稱「《計劃規則》」），委聘友邦保險(國際)有限公司（於百慕達註冊成立之有限公司）（以下簡稱「友邦」）為本人的金融中介機構（以下簡稱「金融中介機構」）（屬於獲准經營《保險業條例》（香港法例第41章）附表1第2部所指明類別C業務的保險人類別）。為了該目的，本人現為友邦的利益向友邦作出此份聲明。

註釋：*此等字詞與《計劃規則》中所列明的含義相同。《計劃規則》可於香港投資推廣署主辦的新入境計劃網站 https://www.newcies.gov.hk/zh-hk/index.html查閱。

金融中介機構資料

金融中介機構名稱：友邦保險(國際)有限公司（於百慕達註冊成立之有限公司）

金融中介機構地址：香港北角電氣道183號友邦大樓12樓

金融中介機構聯絡電話號碼：2881 3333

金融中介機構類別：獲准經營《保險業條例》（香港法例第41章）附表1第2部所指明類別C業務的保險人

申請人 / 投資者資料

申請新入境計劃之申請人 / 投資者姓名：_____

新入境計劃參考編號：_____

於簽署本聲明之日申請人 / 投資者的新入境計劃狀態(請在適當的方格內填上✓)：

☐ 已獲取原則上批准*^

☐ 已獲取正式批准*^

☐ 申請人 / 投資者尚未獲取原則上批准*及正式批准*#

（當申請人 / 投資者獲取原則上批准*或正式批准*，申請人/投資者必須提交相關表格通知友邦，並向友邦提供證明文件的副本。）

注意:

^ 請提供證明文件以證明已獲取原則上批准*或正式批准*。

 # 請提供香港投資推廣署對申請人 / 投資者的淨資產審查*申請的確認函副本。

AIA 保留要求提供其他證明文件以確認申請人 / 投資者的「新入境計劃」的狀態的權利。

確認及聲明

本人，申請人/ 投資者，明白根據《計劃規則》，本人只能委聘獲准經營《保險業條例》（香港法例第41章）附表1第2部所指明類別C業務的保險人的金融中介機構類別下的一家金融中介機構（下稱「保險人類別」）。

本人特此聲明，同意並確認：

(i) 本人決定委聘友邦為本人的保險人類別下之金融中介機構乃本人自己主動作出，並是本人經過考慮其他事宜包括有關委聘條款及本人的個人狀況後，純粹由本人作出的個人決定；

(ii) 友邦一概不須為本人因該委聘或與該委聘有關引起而可能蒙受、招致或遭受的任何訴訟、申索、費用、損失、收費、開支及損害而承擔任何責任; 及

(iii) 本人決定投保將存放在本人指定帳戶內的保單，因這些保單符合我在財務需要分析（FNA）中所述的需求。

本人現進一步聲明、同意並確認以下全部事宜：

遵守《計劃規則》

(a) 本人須獨自負責了解及遵守由香港投資推廣署署長及入境處處長發出及不時修訂的《計劃規則》，包括但不限於獲取有關計劃的全部所需資料、符合《計劃規則》下的所有準則、投資及申報規定的責任。

(b) 本人須獨自負責按投資推廣署署長的要求，以書面形式提供使投資推廣署署長信納的有關獲許金融資產*的所有資料。

(c) 本人須獨自負責自行自費就本人的新入境計劃申請及《計劃規則》尋求任何所需的獨立法律及財務意見，友邦並無就本人的新入境計劃申請提供任何意見或保證或承擔任何責任。

(d) 友邦不須對以下承擔任何形式的法律責任：(i)本人任何違反或不遵從《計畫規則》；或(ii)本人對投資推廣署署長、香港投資推廣署、香港入境事務處、入境處處長或任何政府機構或監管機構所作出的任何陳述和承諾；或(iii)本人所行使任何保單（由本人指定帳戶下持有）下的任何權利或選項，即使行使該等權利或選項將產生減少或提取獲許金融資產*的價值的效果。

委聘金融中介機構

(e) 本人獨自負責根據《計劃規則》委聘金融中介機構及把受本人委聘的金融中介機構的名稱和有關詳情於指明期限內以書面通知投資推廣署署長。

(f) 友邦因提供與本人在計劃下的申請相關的服務而徵收的任何手續費將由本人全數承擔。

(g) 當本人委聘友邦作為本人的保險人類別下之金融中介機構，本人將須根據《計劃規則》以本人自己的名義在友邦開立一個指定帳戶，將本人的獲許金融資產*存入指定帳戶，並專門只為獲許金融資產*的交易使用指定帳戶。本人將須與友邦簽立一份協議（金融中介機構協議 - 新資本投資者入境計劃）（下稱「金融中介機構協議」），該協議將指明開立及運作本人的指定帳戶的條款及細則。

(h) 如以本人名義於友邦開立的指定帳戶被取消，友邦將終止作為計劃下本人的金融中介機構及本人與友邦簽立的金融中介機構協議亦將會被終止。

新入境計劃申請

如本人的新入境計劃申請不成功、獲取消或終止，本人明白並同意：

(i) 本人的保單（存放在本人的指定帳戶內的獲許金融資產*）將維持有效，除非本人的保單根據保單合約的條款被終止。保單被終止後，本人明白本人可能會蒙受投資損失，而友邦不會對任何該損失負責；

(ii) 如本人的新入境計劃申請不成功、獲取消或終止，本人的保單（存放在本人的指定帳戶內的獲許金融資產*）在冷靜期過後，不得基於此原因被終止或取消，並且不得因此原因而要求退還或獲退還本人就該等保單所已支付的保費；及

(iii) 本人須對本人的新入境計劃申請的結果獨自負責並完全自行承擔所有風險。 友邦不會對本人的新入境計劃申請的結果負責。如本人的新入境計劃申請因任何原因不成功、獲取消或終止，友邦不會對此承擔任何責任。

為本人的新入境計劃申請及計劃之目的，本人同意友邦及其委任的行政人員將會轉移及分發本人的個人資料至第三方數據接收方，而第三方數據接收方包括投資推廣署、投資推廣署署長、香港入境事務處及入境事務處處長。

本人進一步確認，本人已獲友邦建議仔細閱讀本聲明及金融中介機構協議中的條款，以了解該等條款的含義及其對本人的影響，包括本人在當中的義務和責任。

本聲明採用英文撰寫並提供中文譯本以供參考。本聲明的英文版本與中文譯本如有任何不一致，概以英文版本為準。

簽署：

日期：

申請人/ 投資者姓名

月/日/年

簽署：

日期：

見證人姓名

月/日/年