Application for Referral of Tax, Family Office & Legal Advisory Services – Form of Acknowledgement & Consent
轉介稅務、家族辦公室及法律諮詢服務申請－確認及同意表格

Policy Number 保單號碼	Name of Insured 受保人姓名	Name of Owner 持有人姓名
Area Code 區域編號	**Agency / Broker Name** 營業員組別 / 經紀名稱	**Agent / Broker Code** 營業員號碼 / 經紀號碼
Agency Code 營業員組別編號	**Agent / TR's Name** 營業員 / 業務代表姓名	**Agent / TRs Tel. No.** 營業員 / 業務代表聯絡電話

P4212012

TR Membership Number 業務代表會員號碼
(For Brokers only 僅供經紀使用) ☐ IA ☐☐☐☐☐☐ ☐ ANG ☐☐☐☐☐☐☐

TIPS: Check the checkbox (IA for HK; ANG for Macau) and input the reg. no. using standard format [for HK, it is 2 letters + 4 digits; for Macau, it is 3 letters + 4 digits]
提示：選取方格（IA-香港；ANG-澳門）並填入特定的登記號碼 [香港號碼由2個英文字母 + 4位數字組成；澳門號碼由3個英文字母 + 4位數字組成]

Remark: If the stated AIA financial planner / broker / IFA on this form is not my current servicing AIA financial planner / broker / IFA, I give consent to him/her to handle and follow up my request.
備註：倘若在上述表格上填寫的財務策劃顧問 / 經紀 / 獨立理財顧問並不是本人目前的財務策劃顧問 / 經紀 / 獨立理財顧問，本人同意他/她處理並跟進我的要求。

Owner's email address 持有人的電郵地址（必須填寫）	Owner's contact phone number 持有人的聯絡電話號碼（必須填寫）

Policy Premium 保單保費*：
☐ single premium of US$1 million or above 整付保費100萬美元或以上
☐ annualized premium of US$100,000 or above 年度化保費10萬美元或以上

* To be qualified for this service, the owner must hold at least ONE inforce policy with (a) single premium of US$1 million or above, or (b) annualized premium of US$100,000 or above.
持有人必須持有至少一張有效保單之保費達 (a)整付保費100萬美元或以上，或(b)年度化保費10萬美元或以上，才符合獲得此服務的資格。

	Please tick (✓) the box 請於以下每格加上（✓）號
I hereby acknowledge, confirm and agree that: 本人在此確認及同意：	
1) AIA International Limited ("AIA") does not engage in the provision of tax, family office & legal advisory services to individuals. AIA has identified an independent consultant, Ernst & Young ("EY"), to make available tax, family office and legal advisory services to its selected customers. I have shown my interest in the tax, family office and legal advisory services of EY and would like AIA to refer or introduce me to EY. I hereby give consent to AIA and its intermediaries to pass this form (i.e. "Application for Referral of Tax, family office & Legal Advisory Services – Form of Acknowledgement & Consent") and information on this form to EY who may reach me at the aforesaid phone number or email address. 友邦保險(國際)有限公司（"友邦"）不從事及提供個人稅務、家族辦公室及法律諮詢服務。友邦已接洽安永，一家獨立公司為友邦特選客戶提供稅務、家族辦公室及法律諮詢服務。本人對安永提供的稅務、家族辦公室及法律諮詢服務感興趣，並希望友邦為本人向安永作轉介或介紹。本人在此同意友邦及其中介人將此表格（即"轉介稅務、家族辦公室及法律諮詢服務申請－確認及同意表格"）及此表格上的資料提交給安永，讓安永可以通過上述電郵地址或電話號碼與本人聯絡。	☐
2) This form does not form part of the insurance policy. My decision on the purchase of the insurance policy is based on my actual insurance needs absolutely which is independent of any referral arrangements provided by AIA. 本表格不構成保單一部分。本人購買保單之決定完全基於實際保險需求，與友邦所提供之任何轉介安排無關。	☐
3) I understand that EY and AIA are separate and independent entities and AIA is not in the business of tax, family office and legal advisory services. The tax, family office and legal advisory services promoted, marketed and offered by EY is separate and distinct from AIA. AIA is not responsible or liable for any tax, family office and legal advisory services, products or solicitation effort provided by EY and I hereby waive my right to pursue any action against AIA in connection with the referral activity and/or introducing me to EY. All tax, family office and legal advisory services, products or solicitation effort provided by EY are subject to the terms and conditions of EY. 本人明白安永與友邦是獨立的法人實體及友邦並不從事稅務、家族辦公室及法律諮詢服務業務。安永就稅務、家族辦公室及法律諮詢服務的推廣，營銷和提供是完全獨立及不同於友邦的業務。友邦對安永提供的任何稅務、家族辦公室及法律諮詢服務，產品或招攬工作不承擔任何責任，而本人在此放棄對本次轉介安排和 / 或介紹向友邦採取任何追究行動之權利。由安永提供的所有稅務、家族辦公室及法律諮詢服務，產品或招攬工作均受安永的條款和條件約束。	☐

Policy Number 保單號碼

4) AIA is not my legal agent. I understand and acknowledge that AIA is not a legal agent of EY in referring or introducing me to EY. I will seek independent legal and professional advice in case of doubt. 友邦並非本人的法定代理人。本人明白及確認友邦向安永轉介或介紹本人時並非安永之法定代理人。如有疑問，本人會尋求獨立的法律及專業意見。	☐
5) AIA will neither receive any remuneration, commission or referral fees from EY nor take part in the tax, family office and legal advisory services arrangement entered between EY and the selected customers of AIA. 友邦既不會從安永收取任何報酬、佣金或轉介費用，也不會參與安永與友邦特選客戶之間的稅務、家族辦公室及法律諮詢服務安排。	☐
6) I, being the selected customer of AIA, am of free will to accept the referral arrangement provided by AIA to me and I may or may not accept the tax, family office and legal advisory services offered by EY following the promotion of its tax, family office and legal advisory services to me. 本人作為友邦的特選客戶是自願接受友邦向本人提供的轉介安排及可在安永向本人推介其稅務、家族辦公室及法律諮詢服務後選擇接受或不接受該稅務、家族辦公室及法律諮詢服務。	☐
7) I understand that EY will provide me a 1-hour complimentary tax, family office & legal advisory session at no cost. I will be responsible for paying all the costs and expenses in relation to the tax, family office and legal advisory charged by EY. 本人明白安永將免費為我提供1小時的稅務、家族辦公室和法律諮詢服務。本人將負責支付安永就稅務、家族辦公室及法律諮詢服務收取的所有費用。	☐

DISCLAIMER

AIA and its staff, employees, agents and affiliates are not affiliated in any way with EY. There is no agency relationship of any kind between AIA and EY. AIA is not in any way involved in any advice given or arrangement proposed to you by EY, and AIA makes no comment on, and assumes no responsibility for, any such advice or arrangement so given or proposed. None of such advice or arrangement should be considered as being reviewed, endorsed or agreed to by AIA.

AIA expressly disclaims any expertise in the provision of tax, family office and legal advisory services, and assumes no duties or responsibilities in evaluating EY. The referral or introduction of EY to you is not intended to constitute any advice, view, attitude, position or opinion provided by AIA. Any and all warranties of appropriateness, suitability and quality of services and fitness for a particular purpose of the services provided by EY are expressly disclaimed.

AIA expressly disclaims any and all warranties, express or implied, that EY is qualified or possesses the requisite expertise to advise on your situation. AIA assumes no duties for conducting any detailed or on-going due diligence on EY. Any information provided by EY has not been independently confirmed, verified or validated by AIA or any other party. There has been no matching carried out between you and EY, and AIA is not aware of your intended use of the tax, family office and legal advisory services to be provided by EY.

AIA does not accept, assume or undertake any legal responsibility to any person or entity for any loss or damage of whatever nature (direct, indirect, consequential or other) whether arising in contract, tort or otherwise, arising from the use of or reliance on the tax, family office and legal advisory services provided by EY.
EY is not bound to accept your engagement. It may need to conduct client identification / verification, conflicts of interest checks and other due diligence on you before considering whether to accept any engagement.

This disclaimer shall be governed by Hong Kong law. Any part of this disclaimer which is invalid or unenforceable in any jurisdiction shall become ineffective as to that jurisdiction only and to the extent of the invalidity or unenforceability. That shall not invalidate the remaining parts of this disclaimer nor affect the validity or enforceability of that part in any other jurisdiction.

If there is any inconsistency or conflict between the English and Chinese versions of this disclaimer, the English version shall prevail.

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安永沒有義務接受閣下的聘用。或需首先對閣下進行客戶確認 / 核實、利益衝突核查及其他盡職調查，然後考慮是否接受聘用。

本免責聲明受香港法律管轄。本免責聲明如有任何部分於任何管轄區域中無效或不可執行，則該部分僅就該管轄區域而言在無效或不可執行的範圍內不予生效，而不使本免責聲明其餘部分無效，亦不影響該部分在任何其他管轄區域中的有效性或可執行性。

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Policy Number 保單號碼 | | | | | | | | | | |

PERSONAL DATA COLLECTION AND USE

I / We confirm that I / we have read, understood and agreed to the Personal Information Collection Statement(s) of my / our policy issuer(s) and/or pension scheme provider(s), i.e. AIA International Limited (Hong Kong Branch), AIA International Limited (Macau Branch) and / or AIA Company Limited where applicable, (the "PICS") which is available for download: https://www.aia.com.hk/en/privacy-statement-main.

I / We declare and agree that any personal data and other information relating to me / us or my / our policy(ies), account(s) or investments contained in this application or collected, obtained, compiled or held by my / our policy issuer(s) and / or pension scheme provider(s) by any means from time to time may be collected and utilized in accordance with the PICS.

I / We acknowledge and consent to the transfer of my / our personal data to parties within or outside Hong Kong (for policy(ies) / pension scheme(s) issued in Hong Kong) or Macau (for policy(ies) / pension scheme(s) issued in Macau), as the case may be, for the purposes as set out in the PICS.

The latest version of the PICS which complies with the relevant rules and regulations is / are available for download from the above website and upon request.

個人資料收集及使用

我 / 我們確認我 / 我們已閱讀、明白及同意我 / 我們的保單繕發人及 / 或退休金計劃服務提供者（即友邦(國際)有限公司（香港分行）、友邦(國際)有限公司（澳門分行）及/或友邦保險有限公司（如適用））的個人資料收集聲明（「該聲明」），該聲明可在以下網址下載https://www.aia.com.hk/zh-hk/privacy-statement-main。

我 / 我們聲明及同意在本申請所載或我 / 我們的保單繕發人及 / 或退休金計劃服務提供者不時以任何方法收集、獲得、編製或持有的任何個人資料及關於我 / 我們的保單、帳戶或投資的其他資料，可根據該聲明收集及使用。

我 / 我們知悉及同意就該聲明所述目的轉移我 / 我們的個人資料至香港境外 / 境內（如保單 / 退休金計劃在香港繕發）或澳門境外 / 境內（如保單 / 退休金計劃在澳門繕發）（視乎情況而定）予該聲明所載的資料承讓人。

該聲明的符合相關守則及法規之最新版本可於以上網址下載及可供索取。

Signature of Owner
(Please do not sign on blank form)
持有人簽名（請勿在空白表格上簽署）

on 於 MM月 DD日 YYYY年

SIGNED, COMPLETED AND ENCRYPTED FORM TO BE EMAILED TO vip.hk@hk.ey.com AND cc aiahk_hnwadvisory@aia.com

*(Please note: **Only fill in the policy owner's email address in the email message body**. Please do not include any other content. Otherwise, the email system will not be able to identify this application.).*

本表格於簽署、完成及加密後電郵至 vip.hk@hk.ey.com及抄送aiahk_hnwadvisory@aia.com

*（請注意：**僅將保單持有人的電郵地址填寫在郵件內文中**。請勿添加任何其他內容。否則，電郵系統無法辨識此申請。）。*

THE ORIGINAL FORM TO BE RETURNED TO

AIA International Limited－Life & Wealth Management Proposition Department

31/F, Hopewell Centre, 183 Queen's Road East, Wan Chai, Hong Kong

正本表格須交回

友邦保險(國際)有限公司－人壽與財富產品主張及策劃部

香港灣仔皇后大道東183號合和中心31樓

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OPPOSF99.0325

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